

## FORMALITIES LETTER



\*OC00000005182323\*

UNITED STATES DEPARTMENT OF  
COMMERCE

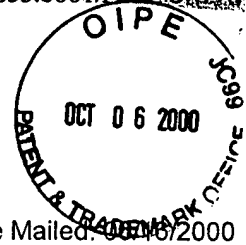
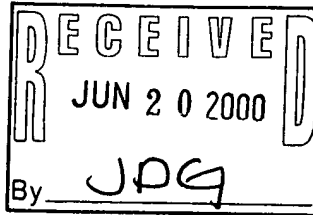
Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/536,377	03/28/2000	Sandra K. Richardson	M36530001/P001-C

Jon D Grossman  
Dickstein Shapiro Morin & Oshinsky LLP  
2101 L Street NW  
Washington, DC 20037-1526



Date Mailed: OCT 06 2000

LUS

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*B. Monroe*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/10/2000 MMARMOL 00000009 09536377

01 FC:105

130.00 OP

Missing parts

M36530001 DOCKETED  
P001-C

Client/Matter# \_\_\_\_\_ Atty JDG

Due Date 8-10-2000 (OX)

Final Deadline 12-10-2000 (4X)

DKTD By LUS

R/C June 26, 2000

6/16 00 8:26 AM

PATENT

Docket No.: M3653.0001/P001-C

Sector  
#  
3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Douglas Clark

Serial No.: 09/536,377

Filed: March 28, 2000



Group Art Unit: 2768

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR  
PLANNING AND MONITORING  
MULTIPLE TASKS BASED ON  
USER DEFINED CRITERIA AND  
PREDICTIVE ABILITY AND FOR  
AUTOMATICALLY DETECTING  
TASK RELATED WORK

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed June 16, 2000, Applicants submit the Declaration and Power of Attorney, a copy of the Notice to File Missing Parts of Application – Filing Date Granted; a Recordation Form Cover Sheet with the Assignment by Inventors; and a Petition for 2 Months Extension of Time.

Please note that Sandra K. Richardson, Matthew Bennett and Jim Finwick were listed as inventors in error. Please remove their names from the filing receipt as their names are not reflected on the enclosed executed Declaration and Power of Attorney.

A check in the amount of \$560.00 for missing parts of application, and recordation of assignment document and extension of time fee is attached herewith for the fees associated with this filing. The Commissioner is also authorized to charge any additional fees or credit any overpayment to Deposit Account No. 04-1073.

Dated: October 6, 2000

Respectfully submitted,

By 

Jon D. Grossman

Registration No.: 32,699

DICKSTEIN SHAPIRO MORIN &  
OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 785-9700

Attorneys for Applicant

## FILING RECEIPT



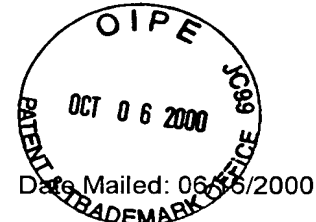
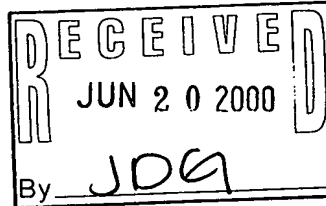
\*OC00000005182322\*

UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231**COPY**

# 3

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/536,377	03/28/2000	2768	690	M3653.0001/P001-C	11	10	3

Jon D Grossman  
Dickstein Shapiro Morin & Oshinsky LLP  
2101 L Street NW  
Washington, DC 20037-1526



LMS

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Sandra K. Richardson, Residence Not Provided;~~  
Douglas Clark, Residence Not Provided;  
~~Matthew Bennett, Residence Not Provided;~~  
~~Jim Finwick, Residence Not Provided;~~

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/334,256 06/16/1999

## Foreign Applications

If Required, Foreign Filing License Granted 06/16/2000

## Title

Method and apparatus for planning and monitoring multiple tasks based on user defined criteria and predictive ability and for automatically detecting taskrelated work

## Preliminary Class

705

Data entry by: MCNROE, BEULAH

Team : OIPE

Date: 06/16/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231